

2. Professional Declarations From Prior Years

If you answered “yes” in a prior year’s renewal to one or more of the declarations **and the matter is still outstanding**, indicate the renewal year and specific attestation(s) in the boxes below:

Renewal Year	Attestations (See Attestations under 1. Professional Declarations. Please circle all that apply.)
	a) b) c) d) e) f) g) h)

3. Professional Obligations

I understand that, as a CFP professional;

I have a professional obligation to provide complete and accurate Declarations to FP Canada and to report any changes to the above Declarations in writing to FP Canada within 15 days of becoming aware of a change. I will send any such reports to FP Canada by mail or via email to info@fpcanada.ca . I will also report, within 15 days of notice, any outcome reached in respect of any matters declared on this or prior forms. I understand that this is an ongoing obligation and that failure to report this information within the required timeframe is a breach of the <i>FP Canada Standards Council Standards of Professional Responsibility</i> .	INITIAL
if I am charged with an offence, I shall immediately notify FP Canada, in writing, and provide specifics in respect of the offence together with all relevant documentation.	
I must fulfill my professional and ethical obligations as outlined in the <i>Standards of Professional Responsibility</i> , the marks usage guide(s) relevant to my status as a CFP professional, and my continuing education requirements as outlined in the <i>CFP Certification Policies</i> .	
I understand that my application to reinstate my CFP certification will be reviewed in light of the Fitness Standards, which identify conduct that may be a potential bar to certification by FP Canada.	

4. Right to Enforce

I understand that failure to comply with my professional and ethical obligations may result in remedial/disciplinary action by FP Canada Standards Council, a division of FP Canada, including, without limitation, revocation, suspension or other restriction on my ability to use the CFP marks. I further understand that any such disciplinary action may impact my ability to obtain or maintain certification by FP Canada as a CFP professional. I understand and acknowledge that the Standards Council is not restricted in its ability to investigate my conduct and take remedial/disciplinary action in response to concerns regarding activities that occurred while I was certified by FP Canada or allegations of a breach of the <i>Standards of Professional Responsibility</i> , even if I am no longer certified by FP Canada when a review of my conduct is initiated by the Standards Council. Findings of professional misconduct by FP Canada’s Hearing Panel will be publicized in accordance with the <i>FP Canada Standards Council Policy on the Publication of Disciplinary Information</i> .	INITIAL
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PART C | Continuing Education (CE) Requirements for Years Lapsed

To maintain the integrity and reputation of CFP certification and ensure that your knowledge and competence remain current, you are responsible for completing 25 credits of Continuing Education (CE) each calendar year in accordance with the *CFP Certification Policies*. As part of our commitment to the integrity of CFP certification, each year FP Canada conducts random audits in which CFP professionals are required to provide evidence of CE credits claimed. Ensure that you have sufficient documentation to verify all CE credits.

Beginning in 2020, at least two credits out of the 25 CE credits must fall under the category of Professional Responsibility. This requirement links directly to the professional obligations that you have agreed to as a condition of certification, as embodied in the *Standards of Professional Responsibility*.

For more information about CE requirements, please visit the Continuing Education page at fpcanada.ca/planners/continuing-education.

To recognize the extent of and currency of learning resulting from successful completion of a Professional Education Program, CFP professionals are exempt from CE requirements in all categories, including the category of Professional Responsibility in the year they pass the CFP exam and additional consecutive calendar years as outlined in the *CFP Certification Policies*.

Mandatory Continuing Education Requirements

Have you completed your mandatory CE requirements for each calendar year that your certification was lapsed?

Yes

No

If you answered “No”, you are required to complete and submit to FP Canada a [Continuing Education \(CE\) Exemption Request Form](#) along with the required supporting documentation with this application. Failure to submit this completed request form may result in a delay in the processing of your CFP Certification Reinstatement Application.

PART D | Marketing Your Designation

As a CFP professional, your preferred name, year certified, certification status, disciplinary history, scheduled proceedings (if applicable) and whether or not you are practicing will automatically appear on FP Canada's Find a Planner search tool. This tool is frequently referenced in the media and other marketing as a key resource for Canadians seeking competent and ethical individuals in their area. We encourage you to take advantage of this marketing opportunity by enhancing your profile using the fields below.

Do you currently practice financial planning and work directly with clients?

Yes No

I would like to show the information indicated below in my public profile on the Find a Planner tool and provide my consent therein. In addition to the information provided below, my business information, phone number and email address will also be shown.

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The Find a Planner tool is available on FP Canada's public website and as such is subject to unrestricted public access. FP Canada does not monitor or control the use of information obtained through this publicly accessible tool.

Website: _____

Voluntary Public Profile

To maximize your marketing opportunity to those utilizing the Find a Planner or Certificant search tool, we recommend that you provide as much information as possible by answering the questions below.

1. What is the range of investible assets of the clients you generally work with?

0 - \$99,999 \$100,000 - \$499,999 \$500,000 - \$999,999 \$1,000,000 +

2. Please indicate the types of clients that you service. (select all that apply)

Caregivers Professionals Self-employed
 Medical practitioners Professional athletes
 Pre-retired Retired

3. Please indicate your financial planning areas of specialty. (select all that apply)

Agriculture / farm business planning Estate planning Private banking
 Credit counselling and bankruptcy Executive compensation and benefits Responsible investing
 Cross-border and international planning Insurance planning Retirement planning
 Divorce and separation planning Investment planning Small business planning
 Education planning Mortgages and debt planning Succession planning
 Employee / Group benefit plans Planning for those with disabilities Tax planning

4. Please indicate the languages you are able to use to service your financial planning clients. (select all that apply)

English Farsi Korean Punjabi Tamil
 French German Mandarin Russian Ukrainian
 Arabic Hindi Polish Spanish Urdu
 Cantonese Italian Portuguese Tagalog (Filipino) Vietnamese

PART E | Profile of the Profession Information

The information you provide below is aggregated with information provided by other CFP professionals and is used to profile the financial planning profession when FP Canada is working with media, members of the public, potential candidates and other stakeholders who have an interest in the general trends related to the profession. This information is used in an aggregated and anonymous form. Please refer to our Privacy Policy at fpcanada.ca/privacy-legal.

If you have any questions regarding the completion of this section, contact FP Canada's Certificant and Student Services Team at info@fpcanada.ca, 416.593.8587 or 1.800.305.9886.

1. Do you hold any of the following designations?

- | | |
|---|---|
| <input type="checkbox"/> Accounting Designation (CPA) | <input type="checkbox"/> Personal Financial Planner (PFP®) – post June 2011 |
| <input type="checkbox"/> Certified Health Insurance Specialist (CHS) | <input type="checkbox"/> Planificateur financier (Pl.Fin.) |
| <input type="checkbox"/> CERTIFIED FINANCIAL PLANNER® (Other Country) | <input type="checkbox"/> Registered Financial Planner (R.F.P.) |
| <input type="checkbox"/> Chartered Financial Analyst (CFA) | <input type="checkbox"/> Registered Retirement Consultant (RRC) |
| <input type="checkbox"/> Chartered Investment Manager (CIM) | <input type="checkbox"/> Trust and Estate Practitioner (TEP) |
| <input type="checkbox"/> Chartered Life Underwriter (CLU) | <input type="checkbox"/> None of the above |
| <input type="checkbox"/> Elder Planning Counselor (EPC) | |

2. What is the highest level of education that you have completed?

- | | | |
|---|--|--|
| <input type="checkbox"/> I have completed no post-secondary degree or diploma | <input type="checkbox"/> Baccalaureate Degree (B.Comm, BBA, BSc, BA, etc.) | <input type="checkbox"/> Masters Degree (MBA, MEd, etc.) |
| <input type="checkbox"/> 2-year College Diploma | <input type="checkbox"/> 3-year College Diploma | <input type="checkbox"/> Doctorate (PhD) |

3. Please check any of the following associations you are a member of:

- Advocis CIFPs IAFP IFB None of the above Other _____

4. What is your employment status?

- | | | | |
|---|---|--|--|
| <input type="checkbox"/> Employed Full-time | <input type="checkbox"/> Employed Part-time | <input type="checkbox"/> Self Employed Full-time | <input type="checkbox"/> Self Employed Part-time |
| <input type="checkbox"/> Not working / On leave | <input type="checkbox"/> Retired | <input type="checkbox"/> Student Part-Time | |

5. Are you currently providing financial planning services?

- Yes No

6. Are you licensed with any Regulatory bodies: (select all that apply)

- | | |
|--|---|
| <input type="checkbox"/> I am licensed to sell mutual funds. | <input type="checkbox"/> I am licensed to sell securities. |
| <input type="checkbox"/> I am licensed to sell insurance. | <input type="checkbox"/> I am not licensed to sell mutual funds, securities or insurance. |

7. Please indicate which one of the following best describes your place of employment: (select only one)

- Accounting Firm Credit Union Financial Planning Firm Insurance Company
 Bank Educational Institution Investment/Mutual Fund Company Managing General Agency (MGA)
 Other: _____

8. Which of the following best describes the business area in which you work? (select only one)

- Retail Wealth Management/Brokerage Insurance Other: _____

9. What is your primary job function? (please select only one)

- Accounting Education/Training Insurance Advice Lending/Credit Advice
 Actuarial Services Executive Management Investment Advice Tax Advisor
 Compliance Full Service Financial Planning Legal Advice Will/Estate Planning
 Other: _____

10. How many years of experience do you have as a financial planner? Years: _____

11. What were your total gross earnings from your primary job function this past year?

- 0 – \$49,999 \$50,000 – \$99,999 \$100,000 – \$149,999 \$150,000 – \$199,999 \$200,000+ Prefer not to answer

12. Is CFP certification required by your employer?

- Yes No

13. Is CFP certification encouraged by your employer in either of the following ways? (select all that apply)

- FP Canada certification fees paid in whole or in part by employer CE activities paid in whole or in part by employer

PART F | Volunteer Opportunities

Many CFP professionals volunteer their time and expertise on a wide range of important activities related to FP Canada's work in the public interest. In addition, we have a number of ambassador programs where CFP professionals act as resources and liaisons with educators, media and local elected officials.

Would you be interested in any of the following volunteer opportunities?

FP Canada

- Career Recruitment Ambassador Media Ambassador Public Policy Ambassador

FP Canada Standards Council

- Career Recruitment Ambassador Certification Mock Exam Writer Certification Exam Marker
 Standards Panel Conduct Review Panel Disciplinary Hearing panel Roster

FP Canada Institute

- Professional Education Program Assignment and Assessment Marker
 Part-time Faculty for Professional Education Programs

FP Canada Research Foundation

- FP Canada Research Foundation Research Committee

PART G | Attestation

Please confirm that you have read and agree to the following attestations. If you are unable to agree to any of the attestations below, contact FP Canada's Certificant and Student Services team at info@fpcanada.ca, 416.593.8587, or 1.800.305.9886.

- I consent to the manner in which FP Canada will collect, use, disclose and otherwise maintain my personal information as set out in FP Canada's Privacy Policy (fpcanada.ca/privacy-legal).
- I confirm that all information, facts, and statements contained in this reinstatement application are accurate, true and complete.
- I authorize the Standards Council to investigate the statements contained in this application

Signature _____

Date _____

PART H | Payment

2020/2021 Reinstatement Fee	AB, BC, MB, NT, NU, QC, SK, YT \$525.00 ((\$500 + 5% GST))	ON \$565.00 ((\$500 + 13% HST))	NB, NL, NS, PE \$575.05 ((\$500 + 15% HST))
2020/2021 CFP® Certification Fee*	AB, BC, MB, NT, NU, QC, SK, YT \$525.00 ((\$500 + 5% GST))	ON \$565.00 ((\$500 + 13% HST))	NB, NL, NS, PE \$575.05 ((\$500 + 15% HST))

*This will be prorated to March 31, 2021 at time of payment. The certification fee for reinstatement is equivalent to the renewal fee for CFP professionals renewing after April 1 of the current certification year.

Method of Payment (select one)

FP Canada currently does not accept American Express.

- Visa Money order
- Mastercard Cheque (payable to FP Canada)

Credit Card Information (please print card number clearly)

Card Number

Expiry Date

/

Name on Credit Card (Please print clearly)

Signature _____

Date _____

Business# 894829878RT