



APPLICATION FOR FP CANADA™ BOARD OF DIRECTORS: PUBLIC DIRECTOR POSITION

Application Deadline – September 28, 2022

Important: Please save this application form to your personal computer before starting to fill it out to avoid the risk of losing data.

Thank you for your interest in serving as a Director of FP Canada. FP Canada is only able to achieve its mandate with the help of the many individuals who generously volunteer their time and expertise.

A national professional body working in the public interest, **FP Canada™** is dedicated to championing better financial wellness for all Canadians by certifying professional financial planners and leading the advancement of professional financial planning in Canada. There are over 20,000 professional financial planners in Canada who have met, and continue to meet, FP Canada's standards.

FP Canada believes in the value that comes from different perspectives and experiences. We are committed to pursuing diversity, equity and inclusion within the organization and across the broader financial planning profession. As we strive to have a Board that is reflective of Canada's demographic composition, we encourage candidates who identify in traditionally underrepresented communities to apply.

We would like to learn more about you and your interest in serving on the Board and thank you for taking the time to complete this application.

Sincerely,

Ronald Harvey
Chair, Board Development Committee

In an effort to recruit a qualified and diverse group of candidates, we have developed a comprehensive selection process.

Step 1

Candidates are asked to carefully review the [FP Canada Director Position Profile](#) to self-assess their qualifications and time availability given the described commitment that Board service will require.

FP Canada's by-laws defines a Public Director as a Director who:

- is not an FP Canada Certificant or Pl. Fin.;
- does not currently earn any compensation (including, but not limited to wages, salaries, bonuses commissions, benefits, and retirement plans) from any financial services organization, which includes but is not limited to a bank, a credit union, an investment or mutual fund broker, dealer or distributor, an insurance company, dealer or distributor, and/or a financial planning firm; and
- is independent from and not a member of any organization or association that serves the interests of any financial services organization.

Step 2

Candidates must then complete this application in full. All applications must be submitted by email to volunteer@fpcanada.ca by **September 28, 2022**. Applications submitted after the deadline will not be considered.

Step 3

The Board Development Committee will carefully review and assess all applications against the position profile and will select a short list of candidates for further consideration.

Step 4

The Board Development Committee will conduct interviews with the short list of candidates (by videoconference) and recommend the new appointment to the Board in December.

Step 5

The Board will appoint the new Director on December 16, 2022. The Director's first term will begin in June 2023.

Section I: Candidate Contact Information

Name:	Preferred Phone:
Email:	Alternate Phone:
Home Address:	
Business Name:	
Business Title:	
Business Address:	
LinkedIn Url:	

Section II: Education, Credentials and Professional Designations

Educational Degrees or Diplomas

Name:	Date Received:	Conferred by (Institution name):
Name:	Date Received:	Conferred by:
Name:	Date Received:	Conferred by:

Section II: Education, Credentials and Professional Designations

Licenses, Designations or Certifications

Name:	Date Received:	Conferred by:
Name:	Date Received:	Conferred by:
Name:	Date Received:	Conferred by:
Name:	Date Received:	Conferred by:
Name:	Date Received:	Conferred by:

Section III: Professional Experience

Please list your current and previous three positions.

Current Position:	Employer:	Dates:
Previous Position:	Employer:	Dates:
Previous Position:	Employer:	Dates:
Previous Position:	Employer:	Dates:

Section IV: Volunteer Service

Please list current and past volunteer positions held with FP Canada or other professional associations, industry or community organizations. Please be explicit about current and past Board experience in particular.

Organization	Service/Position Held	Start Date	End Date

Section V: Open-ended Questions

Please respond to the following questions. Provide a paragraph and limit each answer to 500 words or less.

1. Why do you want to serve on the FP Canada Board of Directors?

2. Now that you have read the Board of Director position profile, please describe three to five unique characteristics of yours that set you apart from other Board candidates and make you the ideal candidate for the Public Director position.

Section V: Open-ended Questions

3. Please describe your career and/or community contributions of which you are most proud.

4. What is your perception of how the public views FP Canada certifications and their value proposition?

Section V: Open-ended Questions

5. From your perspective, what are the most compelling issues facing the financial planning profession now and over the next five years? Why?

6. From your perspective, what are the most compelling issues facing the consumer now and over the next five years? Why?

Section V: Open-ended Questions

7. Serving as a Director is a significant commitment of time and energy. Do you have any concerns that may impede your ability to serve, including support from your employer? If so, how would you manage them?

8. Do you have any personal or professional conflicts of interest, current or anticipated, that the Board should be aware of in considering your application?

No Yes

If yes, please explain in the space below.

Section V: Open-ended Questions

If you are not selected for the Board of Directors during this selection cycle, would you be interested in volunteering for the:

<u>Standards Panel</u>	No	Yes
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<u>Conduct Review Panel</u>	No	Yes
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[Learn more about Volunteer Opportunities \(fpcanada.ca\)](#)

Section VI: Disclosure of Complaints Current and Past

Have you ever:

- been disciplined or had a professional designation, certification or license revoked;
- entered into a consumer proposal or declared bankruptcy; and/or
- been under notice of complaints by clients or any regulatory agency which have led to, or may lead to, legal or disciplinary action.

No Yes

If yes, please explain in the space below, including the dates, circumstances and outcome.

Section VII: Candidate Profile (Voluntary Disclosure)

As part of FP Canada's ongoing commitment to inclusion, equity and diversity, please consider indicating the following: (in each instance you may indicate: Prefer not to respond)

Gender:	
Group(s) with which you self-identify: [check all that apply]	<input type="checkbox"/> Racialized person <input type="checkbox"/> Indigenous person <input type="checkbox"/> LGBTQ2S+ <input type="checkbox"/> Persons with disabilities <input type="checkbox"/> None of the above (cannot be selected with any other option) <input type="checkbox"/> Prefer not to respond (cannot be selected with any other option)
Race or ethnicity:	

Section VIII: References

Please list at least two character and/or professional references.

Name:	Contact Information:
Name:	Contact Information:
Name:	Contact Information:

Section IX: Acknowledgement

I hereby declare that, to the best of my knowledge, all responses in and attached to this form are accurate.

Signature

Date

Please submit your completed application by email to: volunteer@fpCanada.ca

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